

## Class Action Claim Filing Service

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Edward Jones provides the Class Action Claim Filing Service (the “Class Action Service”) to proactively identify and include you in class action claims related to securities and other financial instruments held in your account when you’re eligible to submit a claim. Eligible Edward Jones accounts are automatically enrolled in the Class Action Service.<sup>1</sup>

The Class Action Service will be administered by Broadridge Investor Communication Solutions, Inc. (“Broadridge”). In exchange for administering the Class Action Service, Broadridge will deduct 15% from any class action settlement funds received on your behalf.

Once automatically enrolled in the Class Action Service, this authorization will remain in effect, notwithstanding your disability or death, until we are notified to discontinue this authorization by you or your authorized representative. You will not be notified prior to the submission of any class action claim that is eligible for your account(s). If for any reason Broadridge is unable to file a claim on your behalf, you will be notified by mail of the class action directly, and you will be responsible for filing the claim should you elect to do so.

### Your rights

While you are enrolled in the Class Action Service, you are not permitted to opt out of a specific class action claim that has been filed on your behalf by the Class Action Service. If you wish to opt out from potential or future class action claims, you must cancel the Class Action Service. See the section Canceling the Class Action Claim Filing Service for more detail on how to cancel the Class Action Service.

By remaining enrolled in the Class Action Service and authorizing claims to be filed on your behalf, you are waiving your rights to separately bring a lawsuit on your own or take legal action, either contemporaneously or in the future, against any named defendant in such particular class action lawsuit.

This Class Action Service is a separate administrative service, is not part of the advisory services offered in eligible Edward Jones accounts, and Edward Jones does not act in an advisory capacity when making this service available to you.

### Canceling the Class Action Claim Filing Service

If you would prefer to exclude your account(s) from the Class Action Service and determine whether to file class action claims on your own behalf, please notify your Financial Advisor in writing. To do so, please contact your Financial Advisor, who will provide you with instructions on how to opt out. Canceling the Class Action Service **after** a claim has been filed on your behalf will not remove you from participation in that particular class action lawsuit. If any account is excluded from the Class Action Service, you may still participate in such class action by submitting a claim directly to the claims administrator.

### Settlements and compensation

Participating in the Class Action Service does not guarantee you will receive a settlement payment. Should you become entitled to a part of any settlement, the amount of your portion of the settlement, after deducting the administrative fee, will be deposited into your eligible Edward Jones account(s) and subject to any applicable fees of your eligible account.

If a settlement is received after a participating client closes the account(s) where the purchase of the security subject to the class action lawsuit took place, a check will be mailed to the most recent address of record for the closed account(s), irrespective of whether the participating client still has other accounts at Edward Jones that are enrolled in the Class Action Service.

<sup>1</sup> Eligibility and enrollment are determined by account type and include Select, Guided Solutions Flex, Advisory Solutions UMA and Financial Advisor Managed Solutions.