

E-signature user guide



How to electronically sign documents if you don't have Online Access.

Step 1: You'll receive an email letting you know you have documents to sign.

Select the "View Documents" link in the email.

Edward Jones®

You have new documents to sign

Amy,

Your financial advisor is requesting your electronic signature on one or more documents. By following the steps below, you agree to access and receive these documents electronically, and your consent is in effect until you sign them. If you'd like paper copies, you can request them from your financial advisor at no cost. To electronically sign your documents, simply follow these steps:

1. Access your documents. Click the **"View Documents"** link below.
2. Click the **"Sign"** button next to the document.
3. Verify your identity, if prompted.
4. Review and consent to the e-signature Disclosure and Consent.
5. View and sign your document. Review the document in its entirety and apply your e-signature to the last page.
6. Click the **"Finish"** button to complete your e-signature of the document.

Click "View Documents."

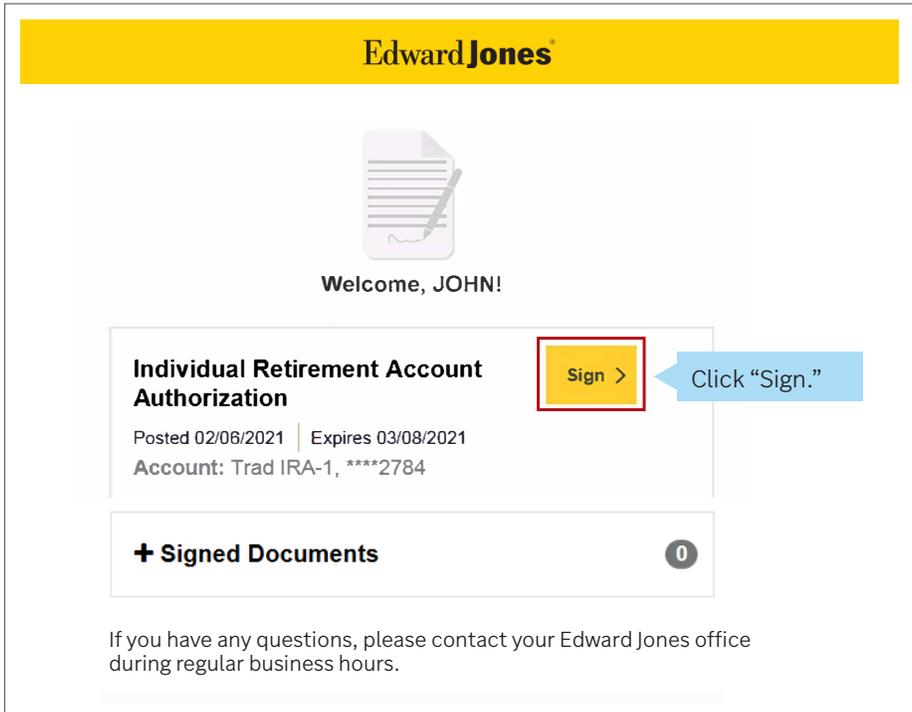
[View Documents](#)

By using electronic signature, you acknowledge that certain risks exist with electronic delivery of documents, including but not limited to unauthorized access, systems outages and disruptions in telecommunications services. The safeguarding of your email address is your sole responsibility, and failure to do so may result in others viewing your private information.

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Step 2: You'll be taken to a web page where you'll see the document(s) that are ready for you to sign.

Select the "Sign" button.



The screenshot displays the Edward Jones e-signature interface. At the top is the Edward Jones logo. Below it is a document icon with a pen. The text "Welcome, JOHN!" is centered. A card titled "Individual Retirement Account Authorization" is shown, with details: "Posted 02/06/2021 | Expires 03/08/2021" and "Account: Trad IRA-1, ****2784". A yellow "Sign >" button is highlighted with a red box, and a blue callout bubble points to it with the text "Click 'Sign.'". Below the card is a section for "+ Signed Documents" with a "0" in a circle. At the bottom, a note reads: "If you have any questions, please contact your Edward Jones office during regular business hours."

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Step 3: Read and accept the Edward Jones E-signature terms and conditions.

Edward Jones

Edward Jones E-signature Consent

Please read the terms and conditions below, and click "Accept" to continue.

Edward Jones Electronic Signature Disclosure and Consent

[Download & Print PDF](#)

This Electronic Signature Disclosure and Consent ("Consent") sets forth the terms and conditions governing my consent to sign documents electronically through, and my use of, the Edward D. Jones & Co., L.P. (Edward Jones) Electronic Signature System (System). I may decline to electronically sign any document by clicking "Decline" instead of signing. I acknowledge that declining may slow the speed at which Edward Jones can complete transactions with me and potentially delay the delivery of services to me.

Required Hardware and Software

Operating Systems: Windows 7 or above, Mac OS X or above, iOS 9.0 or above, Android 6.1 or above

Browsers: Final release versions of Internet Explorer 11.0 or Edge 10.0 or above; Mozilla Firefox 48.0 or above; Safari 5.0 or above (Mac or iOS only), Google Chrome v53 or above. By using the System, I confirm that I have the required hardware and software to use the System, including viewing, downloading, printing and electronically receiving such documents. At any time, I may contact my Edward Jones Financial Advisor and request a paper copy of any document signed electronically through the System, at no cost.

Effect of my Consent

By agreeing to this Consent, I understand that (i)electronically signing and submitting any document(s) to Edward Jones legally binds me in the same manner as if I had signed in a non-electronic form, and (ii)the electronically stored copy of my signature, any written instruction or authorization and any other document provided to me by Edward Jones, is considered to be the true, accurate and complete record, legally enforceable in any proceeding to the same extent as if such documents were originally generated and maintained in printed form. I agree not to contest the admissibility or enforceability of Edward Jones' electronically stored copy of this Consent and any other documents.

Accept
I have read and agree to the terms >

Click to agree to terms.

Step 4: Confirm your identity.

Before you can access your document, you'll be required to confirm your identity using either (a) text or (b) identification questions.

a. Text

If you selected to receive a text code, you'll first be asked to enter your ZIP code, if applicable.

Edward Jones

Enter Your Postal Code

Edward Jones has requested you enter the postal code from the address on your account.

Postal Code:

Next >

Enter 5-digit postal code.

Click "Next."

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If you haven't already signed up for text messaging with Edward Jones, you'll need to accept the text messaging terms and conditions in order to receive texts.

Edward Jones

Edward Jones Texting Terms and Conditions

Texting is one of the ways you can authenticate your identity in order to electronically sign documents.

Agreeing to texting allows you to:

- Text with your Edward Jones office
- Use text authentication when logging in
- Sign up for text alerts
- Receive marketing text messages, which may be sent using an automatic telephone dialing system

By agreeing to receive text messages for texting to the following mobile number, please read and accept the terms and conditions. It is your responsibility to ensure that you have a mobile phone that can receive text messages.

12. Texting Is for Convenience Only
You agree that Texting is provided solely for your convenience and is not to be relied upon. Texting is not guaranteed to be accurate. You agree to review the information available on Online Access or contact your financial advisor before basing any decision on a text. Texting is as of a certain point in time, and at any time prior to or after you receive a text message, circumstances may have changed and the text may no longer be accurate. You agree not to use Texting to provide instructions to Edward Jones and acknowledge that Edward Jones has no obligation to act on instructions you provide via Texting.

Consent to these Terms is not a condition of purchasing any goods or services.

Accept
I have read and agree to the terms >

Once you've accepted the texting terms and conditions, or if you've previously accepted the terms, you'll receive a code to confirm your identity.

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First step

Text Authentication
In order to view this document, we will send you a verification code which you will enter on the next screen.

Authenticating Signer Name: **Catina Smith**

Phone Number:
+1 314-515-2000

SEND TEXT CAI

If you do not have access to your mobile phone at this time, please contact your financial advisor for assistance.

Second step

Text Authentication
A verification code has been sent to your mobile phone.

If you have the verification code, enter it here:

COMPLETE VERIFICATION CAI

If you do not receive a text message, select your browser's back button, verify the code, and then click "Complete Verification."

Enter the authentication code.

Select "Send Text" to receive an authentication code from Edward Jones.

Click "Complete Verification."

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b. Identification questions

If you choose to answer identification questions, you'll see the following screen. Answer the questions, then click "Next" to continue.

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Identification Questions

Before you may electronically sign the documents, it's important that we confirm your identity. To do so, we ask that you please answer the following questions, which are based on information taken from **public records**.

Please note: None of this information is supplied to Edward Jones or anyone but you.

In which of the following counties have you ever lived or owned property?

Davidson, North Carolina Johnston, North Carolina
 Durham, North Carolina Wake, North Carolina
 Forsyth, North Carolina I have never lived in any of these counties

What color is your '2005 Ford F150'?

Chrome Light Blue
 Copper Mauve
 Gold I have never been associated with this vehicle

Which of the following boats or watercrafts have you owned?

13 Ft. Mohawk 33 Ft. Brunswick Family Boat Co
 16 Ft. Sugar Sand Marine 40 Ft. Mainship Corporation
 17 Ft. Godfrey Marine Company None of the above

In which of the following states does "Jane Doe" currently live or own property?

Arizona Mississippi
 Colorado New Hampshire
 Kansas None of the above or I am not familiar with this person

Click "Next."

Note: Edward Jones does not generate these questions, nor do we see or retain the selected answers.

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Step 5: Select “View” to open your documents.

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You must read all pages. To navigate, use the scroll bar on the right side to scroll up and down through the document. OTHER ACTIONS ▾

🔍 🔍 📄 🖨️ 🔍 📄

NEXT

Account Opening Documents

View these documents to complete signing.

VIEW

DocuSign Envelope ID: 741AE41C-34C4-4FA5-B41C-A2C796FC30FE

Authorization for Disbursement

John and Jane Doe
123 Spring Ct.
Maryland Heights, MO 63043

Edward Jones®
MAKING SENSE OF INVESTING

Account Number: 123-01234 Date: 2/17/2021
Account Class Code: 02-Joint
Financial Advisor No: 997997 Branch No: 09979
Scan Title: Wire Disbursement

If applicable, click “View” to see account documents.

Step 6: Review the document(s) and select “Accept.”

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ANNUITY PRODUCT PROVIDERS: REVENUE SHARING SUMMARY

Paid by	Product Where Revenue Sharing May Be Received	Maximum Sales Fees (Per \$10,000 of assets purchased)	Total 2015 Revenue ¹
<i>American General Life Insurance Company</i>	SunAmerica Polaris suite of variable annuities and American Pathway suite of variable annuities	\$25.00	\$2.4 million
<i>Lincoln National Life Insurance Company and Lincoln Life and Annuity Co. of NY</i>	Lincoln National American Legacy suite of variable annuities and Lincoln Choice Plus suite of variable annuities	\$25.00	\$12.0 million ²
<i>MetLife Investors Insurance Company Inc. and First MetLife Investors Insurance Company</i>	MetLife Investors variable annuities and all prior variable annuities issued by Cova	\$25.00	\$0.3 million
<i>Pacific Life & Annuity Company, Pacific Life Insurance Company and Pacific Select Distributors, Inc.</i>	Pacific Destinations suite of variable annuities, Pacific Destinations for New York suite of variable annuities and Pacific Life variable annuities	\$25.00	\$1.2 million
<i>Protective Life Insurance Company and Investment Distributors, Inc.</i>	Protective Dimensions suite of variable annuities, Protective LifeValues suite of variable annuities, ProVariable suite of variable annuities, Elements Access variable annuities and Protective Advantage variable annuities	\$25.00	\$1.8 million
<i>Prudential Annuities Distributors, Inc., Pruco Life Insurance Company of New Jersey and Pruco Life Insurance Company</i>	Prudential Premier Retirement Variable Annuity and Prudential Premier Retirement Variable Annuity NY	\$25.00	\$3.1 million ⁷
<i>Transamerica Capital, Inc.</i>	Transamerica suite of variable annuities	\$25.00	\$2.1 million

¹ The total 2015 revenue has been reported under the accrual basis of accounting in conformity with generally accepted accounting principles (GAAP).

² For the purposes of computing the annualized amount per \$10,000 of assets, Edward Jones has categorized the entire revenue sharing arrangement with American Funds Distributors, Inc. (“American Funds”) as an asset fee because American Funds has not distinguished to Edward Jones the breakdown of the revenue arrangement between asset fees and sales fees. If the entire revenue sharing arrangement was categorized as sales fees, the amount would be \$24.71 per \$10,000 purchased. American Funds has not distinguished the portion of its annual revenue sharing payment that is attributable to Edward Jones’ sales of interests in the 529 plan for which American Funds is the program manager.

³ Edward Jones and BlackRock entered into a revenue sharing agreement effective August 1, 2016.

⁴ Federated Securities Corp. and Edward Jones jointly own the investment adviser to the taxable Edward Jones Money Market Fund and the Edward Jones Tax-Free Money Market Fund, with Edward Jones holding a 49.5% limited partnership interest. The foregoing table does not include any amounts earned by or paid to Edward Jones related to its or Federated’s ownership of the adviser. Please refer to the funds’ prospectuses for further information.

⁵ Edward Jones and Ivy entered into a revenue sharing agreement effective February 1, 2015.

⁶ In addition to asset-based fees, the amounts received include fees paid by John Hancock for its participation at conferences, seminars, programs, and/or other events sponsored by Edward Jones.

⁷ For approximately the first half of 2015, in addition to the maximum sales fees, the total revenue sharing received by Edward Jones included annual asset fees from Lincoln National and Prudential up to \$15.00 based on \$10,000 of variable annuity assets owned and contract servicing fees up to \$12.00 per year on fixed and/or immediate annuity contracts.

ACCEPT

Click “Accept.”

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Step 7: Review the signature page, checking each box that needs your attention, then select the “Sign” button.

Edward Jones

NEXT

3. I have read and reviewed the beneficiary designation above and confirm the designation is accurate and complete.

4. As the account owner, or an authorized representative of the account owner acting on specific authority, I have the authority to designate, change or revoke the beneficiaries for this account.

5. I will receive an Edward Jones brokerage statement that will serve as my custodial account statement and no other separate account statements will be provided.

6. Edward Jones Trust Company may appoint one or more of its affiliates, including Edward Jones, to provide some or all its services under the Custodial Agreement.

I have received, read and understand the Edward Jones Trust Company Traditional Individual Retirement Account Custodial Agreement and agree to its terms and have received the Confirmation of Authorizations and Services, Brochure Supplements, Disclosure Statement, Schedule of Fees for IRAs, Privacy Notice and Revenue Sharing Disclosure.

Must Read and Select > **THE CUSTODIAL AGREEMENT CONTAINS ON PAGE 6 IN SECTION 17, A BINDING ARBITRATION PROVISION WHICH MAY BE ENFORCED BY THE PARTIES.**

Sign.

Owner/Custodian/Guardian's Signature	XXXXX2785	2/17/2021
John Doe	Social Security Number	Date
Printed Owner/Custodian/Guardian's Name	esign1test@edwardjones.com	Email Address



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FINISH

Select "Sign."

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Step 8: Select the “Finish” button to submit your document.

If you select “Finish” and you’ve missed an action item, you’ll be taken directly to the action needed. Once you’ve completed that action, select “Finish” again to submit your signed document.

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6. Edward Jones Trust Company may appoint one or more of its affiliates, including Edward Jones, to provide some or all its services under the Custodial Agreement.

Must Read and Select > I have received, read and understand the Edward Jones Trust Company Traditional Individual Retirement Account Custodial Agreement and agree to its terms and have received the Confirmation of Authorizations and Services, Brochure Supplements, Disclosure Statement, Schedule of Fees for IRAs, Privacy Notice and Revenue Sharing Disclosure.

THE CUSTODIAL AGREEMENT CONTAINS ON PAGE 6 IN SECTION 17, A BINDING ARBITRATION PROVISION WHICH MAY BE ENFORCED BY THE PARTIES.

Digitally signed by
John Doe
Owner/Custodian/Guardian's Signature XXXX2785 2/6/2017
Social Security Number Date

John Doe esign1test@edwardjones.com
Printed Owner/Custodian/Guardian's Name Email Address

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FINISH

Select “Finish” to submit your signed document.

E-signature user guide

Step 9: If you're not currently signed up for Online Access, you'll have the opportunity to do so.

Edward Jones



You've successfully signed all of your documents.

Like viewing account documents and information online?

Sign up for online access.

- Check account balances and activity
- Securely communicate with your financial advisor
- Easily transfer funds between accounts
- Deposit checks anywhere, anytime using our mobile app

[Get Started >](#)

If you have any questions, please contact your financial advisor.